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## Coastal connectivity in the Gulf of Maine in spring and summer of 2004–2009

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## ABSTRACT

Coastal ocean connectivity associated with the Gulf of Maine (GOM) surface flows in spring and summer seasons of 2004–2009 is studied using surface numerical particle tracking based on realistic regional ocean circulation hindcast solutions. Seven initial particle release sites are selected in key gulf regions often affected by harmful algal (*Alexandrium fundyense*) blooms, including Massachusetts Bay, the western GOM coastal area, the eastern GOM coastal area, the Bay of Fundy, Wilkinson Basin, the Jordan Basin, and a region seaward of Penobscot Bay. Surface particles are released every 5 days between February 1st and August 1st in each year, and the variability in their trajectories on interannual time scales is quantified by Lagrangian probability density function calculations. Coastal connectivity is further quantified using a connectivity matrix, identifying source and destination functions. Our results suggest that the interannual variability in coastal connectivity has strong impact on the spatial distribution of *A. fundyense* blooms in each year.

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### 1. Introduction

The Gulf of Maine (GOM) is a semi-closed marginal sea off the U.S. northeast seaboard. While the mean circulation in the gulf is known to be cyclonic (Bigelow, 1927; Lynch et al., 1997), significant seasonal and interannual variations in coastal current and transport have been identified by previous observational and modeling work (e.g., He and McGillicuddy, 2008; Manning et al., 2006, 2009; Pettigrew et al., 2005; Xue et al., 2000). For instance, there is strong continuity between the eastern Maine Coastal Current (EMCC) and western Maine Coastal Current (WMCC) in certain years (e.g., 2000 and 2003), as opposed to a more disrupted coastal flow structure in other years (e.g., 1998 and 2002) when the EMCC veers offshore southeast of Penobscot Bay (Pettigrew et al., 2005; Xue et al., 2008). Further north, the Bay of Fundy water typically has high self-retentiveness, but significant interannual variability has been observed in the rate at which BOF waters leak into the EGOM through various exit pathways (Aretxabaleta et al., 2008, 2009). Offshore, the Jordan Basin gyre that tends to intensify in the summer season (Beardsley et al., 1997; Brooks, 1985) is clearly also influenced by offshore and upstream forcing variations on interannual time scales.

A major research focus in the GOM is the dispersion of planktonic species by the Gulf of Maine circulation. Hannah et al. (1998) used a model-generated climatological mean circulation to study the upper-ocean transport mechanisms for the copepod *Calanus finmarchicus*, which is a keystone species of the annual zooplankton bloom in the GOM. Their results showed that the southward surface Ekman drift induced by northwesterly wind can act as a conveyor belt, transporting *C. finmarchicus* from the GOM to the Georges Bank in winter and spring seasons. A recent study by Xue et al. (2008) focused on the early life stage of lobsters in the GOM. They coupled a realistic circulation hindcast model (the Princeton Ocean Model) with an individual based biological model that considered lobster egg production, temperature-dependent larval growth, and stage-specific vertical distributions. Numerical lobster larvae were released three times each month from June to September near shore (within the 100-m isobath). Results showed relatively lower accumulations of early stage lobsters along the eastern Maine coast than along the western Maine coast. Using the same model setup, the study of Incze et al. (2010) further included mortality in the individual based biological model and focused on the relative contributions of different source regions to the distribution of postlarvae along the coastal zone. A connectivity matrix was constructed to show that connections between different coastal locations have strong interannual variability in postlarval abundance in response to circulation and temperature variations. Manning and Churchill (2005, 2006) and Manning et al. (2009) described drifter

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dispersion studies in the GOM. The latter work in particular utilized observed drifter trajectories collected from 1988 to 2007 to describe the Maine Coastal Current (MCC) and the coastal transit time along different isobaths.

A statistically-based Lagrangian PDF (LPDF) method was introduced by Mitarai et al (2009) to describe the probability density function of particle displacement and coastal connectivity in the Southern California Bight (SCB). Driven by simulated ocean currents, their ensemble numerical particle dispersal patterns exhibited a strong dependence on the initial release locations and advection time scales being studied. Moreover, pronounced dispersion variability on seasonal to interannual timescales was shown to be largely determined by eddy activity and synoptic wind-forcing variations in the SCB. Based on the connectivity matrix, the source and destination strengths were computed to quantify the degrees of connection between different coastal locations selected in their study.

Inspired by drifter observation studies (e.g., Manning et al., 2009) in the Gulf of Maine, we intend to further analyze the coastal Lagrangian connectivity using numerical particle tracking and the LPDF method described by Mitarai et al. (2009). Our key scientific motivation is to better understand the role of ocean circulation in affecting the harmful algal bloom (*Alexandrium fundyense*) distributions on interannual time scales. Early studies (e.g., Anderson et al., 2005a; McGillicuddy et al., 2003, 2005b) have shown that *A. fundyense* cells are initiated by cyst germination in early spring of each year. The resulting blooms (of different intensity in each year) are present in various GOM coastal regions throughout the summer. Here we seek to understand how the coastal circulation variability influences bloom dispersion, which *A. fundyense* source location(s) are most important, and where their most likely destinations are located.

In Section 2 we provide a description of our GOM coastal circulation model, and a brief overview of coastal connectivity and LPDF concepts. Section 3 presents the model-data comparisons, GOM LPDF results, and connectivity matrix, source and destination function analyses. Section 4 discusses connectivity variability on interannual time scales and the possible driving mechanisms, followed by a summary and discussion in Section 5.

## 2. Methods

### 2.1. Hydrodynamic model, observations, and particle tracking tool

The GOM circulation hindcast was performed using a regional implementation of the Regional Ocean Modeling System (Haidvogel et al., 2008; Shchepetkin and McWilliams, 2005). ROMS is a free-surface, hydrostatic, primitive-equation model that employs split-explicit separation of fast barotropic and slow baroclinic modes and vertically stretched terrain-following coordinates. We implemented a multi-nested configuration consisting of circulation downscaling from a global data assimilative Hybrid Coordinate Ocean Model (HYCOM/NCODA) to a shelf-wide ROMS model, and subsequently to the GOM ROMS model (He et al., 2008). The global HYCOM (<http://hycom.rsmas.miami.edu/dataserver>) assimilates satellite observed sea surface temperature and height, and ARGO measured temperature and salinity profile data, providing daily data assimilative global circulation at about 10 km resolution. Inside HYCOM we have embedded a shelf-scale ROMS model that encompasses both the Mid-Atlantic Bight (MAB) and GOM (hereafter MABGOM ROMS) via a one-way nesting approach. Horizontal resolution of MABGOM ROMS is 5(10) km in the across- (along-) shelf direction. Vertically there are 36 terrain-following levels in the water column with higher resolution near the surface and bottom to better resolve boundary layer dynamics. For the purpose of one-way nesting,

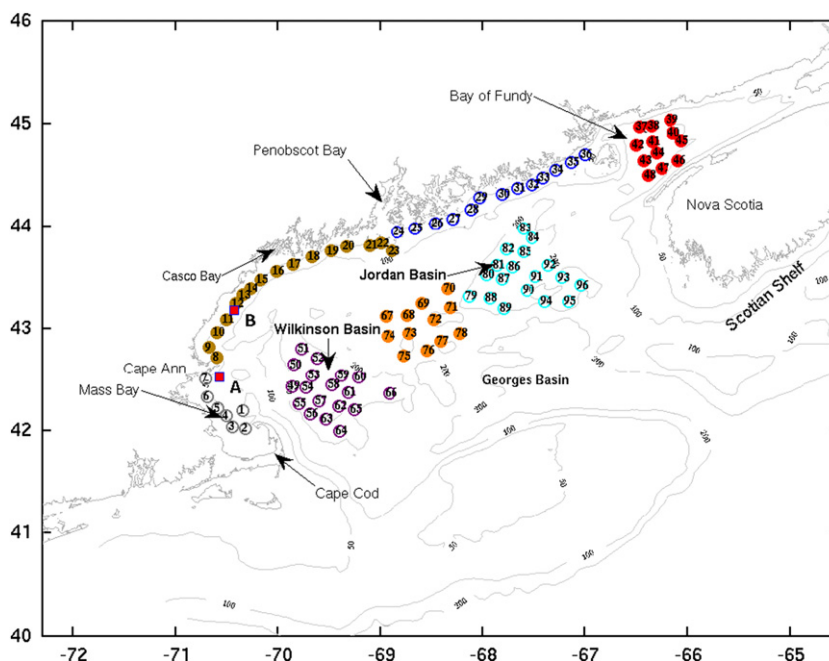
MABGOM ROMS open boundary conditions (OBCs) were applied to temperature, salinity, and baroclinic velocity following the method of Marchesiello et al. (2001), whereby Orlandi-type radiation conditions were used in conjunction with relaxation. Free surface and depth-averaged velocity boundary conditions were specified using the method of Flather (1976) with the external values provided by HYCOM. Because HYCOM solutions do not include tides, tidal harmonics ( $M_2$ ,  $S_2$ ,  $N_2$ ,  $K_2$ ,  $K_1$ ,  $O_1$  and  $Q_1$ ) from an ADCIRC simulation of the western Atlantic (Luettich et al., 1992) were superimposed. Analysis of the interior solution confirmed this approach yielded accurate tidal predictions, similar to earlier results (e.g. He et al., 2008; Moody et al., 1984; Xue et al., 2000). Mellor and Yamada (1982) closure scheme was applied to compute the vertical turbulent mixing, as well as a quadratic drag formulation for specification of bottom friction. The same one-way nesting approach and OBC treatment were used to downscale the shelf-scale MABGOM circulation to the inner-most GOM model. The GOM ROMS has a spatial resolution of 1 (3)-km in the across-shelf (along-shore) direction, and also has 36 vertical layers. This multi-nested downscaling configuration enables the high-resolution GOM ROMS to achieve numerically accurate and dynamically consistent boundary forcing from its large-scale “parent” model. As earlier studies (e.g., He et al., 2005) have shown, significant skill improvement in modeling GOM hydrography and transport can be achieved by using more accurate OBCs specifications.

Surface atmospheric forcing parameters used in our regional ROMS simulations, including cloud fraction, precipitation, surface pressure and humidity, air temperature, surface wind, and short-wave radiation were obtained from the National Center for Environmental Prediction (NCEP), North America Regional Reanalysis (NARR). Spatial and temporal resolutions of these forcing fields are 32-km and 3 h, respectively. They were applied in the standard bulk flux formulation to derive wind stress and net surface heat flux needed by the simulations. To further constrain the surface heat flux, we also followed the same approach used in He and Weisberg (2003) to relax the modeled SST field to NOAA Coast Watch daily,  $1/10^\circ$  cloud-free SST product with a timescale of 0.5 day. The GOM ROMS circulation model has been coupled with an *A. fundyense* population dynamics model to simulate the circulation and harmful algal blooms in different years. Interested readers are referred to He et al. (2008), Li et al. (2009), and McGillicuddy et al. (2011) for a more detailed description of that coupled biophysical model.

In-situ observations used in this study include time series of wind and ocean currents measured by moorings of the Gulf of Maine Ocean Observing System (now part of the Northeast Coastal Ocean Observation System NERACOOS, <http://www.neracoos.org>), e.g., Pettigrew et al., 2011), and the long-term drifter statistics provided by Manning et al. (2009).

The numerical surface particle trajectories were calculated using the Larval Transport model (LTRANS; North et al., 2006a, 2006b, 2008; Schlag et al., 2008), which is an offline particle tracking model that runs with GOM ROMS simulated surface current archives. Our objective is to understand the transport pathways of vegetative *A. fundyense* cells at the ocean surface. As such, numerical particles are ‘drogued’ at 1-m (isobaric) and are not impacted by the vertical velocity. LTRANS model tracking includes a 4th-order Runge–Kutta scheme for advection. In this study, we also activated the random displacement module to mimic sub-grid scale turbulent diffusion. To avoid possible beaching (hitting the land-sea boundary) of the particles, a reflective horizontal boundary condition was applied. That is once a particle hits the land boundary, it is reflected back using the same angle it had approaching the boundary, so particles are kept within the model domain.

We selected a set of particle release domains in the GOM (Fig. 1), including seven sites in Massachusetts Bay (MA, sites 1–7),



**Fig. 1.** Locations of seven particle release regions in the Gulf of Maine, which include Massachusetts Bay (MASS, in gray), the western Gulf of Maine (WGOM, in brown) coastal area, the eastern Gulf of Maine (EGOM, in blue) coastal area, the Bay of Fundy (BOF, in red), Wilkinson Basin (WK, in purple), Jordan basin (JB, in orange), and the area offshore of Penobscot Bay (OFFPB, in cyan). For each site, the initial release points are chosen based on a 6-km radius circular area. Red rectangular symbols represent the locations of NERACOOS buoys A and B. Also shown are the coastal bathymetric contours (50 m, 150 m, and 200 m), and other important geographic sites in the GOM.

16 sites in the western GOM (WGOM, sites 8–23) coastal area, 9 sites in the eastern GOM (sites 24–36, EGOM) coastal area, 12 sites in the Bay of Fundy (BOF, sites 37–48), 18 sites in Wilkinson Basin (WK, sites 49–66), 12 sites in a region seaward of Penobscot Bay (OFFPB, sites 67–78) and 18 sites in Jordan Basin (JB, sites 79–96). Following the same approach used by Mitarai et al. (2009), each site covers a 6-km radius circular area rather than just a single point in the ocean. Among these sites, BOF, OFFPB, and JB are known to be important cyst germination (“source”) locations for *A. fundyense* blooms (e.g., Anderson et al., 2005a). Sites 8–23 and sites 24–36 are centered along the 50-m isobaths in the EGOM and WGOM coastal areas respectively, and are approximately 12-km offshore of the coastline. Therefore these 6-km radius circular areas cover most of shelf regions, and are among those “destination” regions where repetitive *A. fundyense* blooms are often observed and shellfish bed closures enforced (McGillicuddy et al., 2005a).

We focused on the subtidal transport in spring and summer seasons, and for this purpose, surface particle trajectories were computed by LTRANS using the sub-tidal (12.42 h averaged) surface velocity simulated in each year from February 1st to August 1st in 2004–2009. The  $M_2$  tidal averaging procedure ignores other tidal constituents; the effect of the residual tidal constituents will be discussed in Section 4. A total of 1531 particles were released every 5 days over 6-year study period within the 6-km radius of each of these sites, resulting a total of 19,841,760 particle trajectories, which were used to quantify connections among GOM coastal regions that have been chosen in this study.

## 2.2. Lagrangian PDFs

Following Mitarai et al. (2009), we can define the Lagrangian Probability Functions (LPDFs) as the probability density of particle displacement. For a given advection time scale  $\tau$ , sampling space variable  $\varepsilon$ , initial position  $a$ , and the position of  $n$ -th particle

$X_n(\tau, a)$ , the discrete representation of LPDFs  $f'_X(\varepsilon; \tau, a)$  is defined as

$$f'_X(\varepsilon; \tau, a) = \frac{1}{N} \sum_{n=1}^N \delta(X_n(\tau, a) - \varepsilon) \quad (1)$$

where  $N$  is the total number of Lagrangian particles, and  $\delta$  is the Dirac delta function. The Dirac function is defined as the Heaviside function  $H$  in a unit area (i.e.,  $\delta = dH/dx$ ), where the Heaviside function  $H$  is typically known as the unit step function, such that

$$H(x) = \begin{cases} 0 & \text{if } n < x \\ 1 & \text{if } n \geq x \end{cases},$$

where  $n$  is the integer (grid number) along the directional axis  $x$ . As such,  $f'_X$  is also in units of reciprocal area.

The discrete LPDF  $f'_X$  can be expressed as spatially-averaged LPDFs over the surrounding area of each release site, that is

$$f'_X(\varepsilon; \tau, a) \approx \frac{1}{\pi R^2} \int_{|r| \leq R} f'_X(\varepsilon; \tau, a+r) r dr \quad (2)$$

where  $R$  is the radius of each release site (taken as 6-km in this study). A smooth operator (Gaussian filter with radius of 6 km) was then applied to remove subgrid-scale noise to get the LPDF  $f_X$ .

Based on the LPDF, the coastal connectivity  $C_{j,i}$  is then defined as the probability of a water parcel that moves from source  $j$  to destination  $i$  over a time interval  $\tau$ . For a given set of source location  $x_j$  and a destination location  $x_i$ , the value of  $C_{j,i}$  is evaluated from the LPDF as

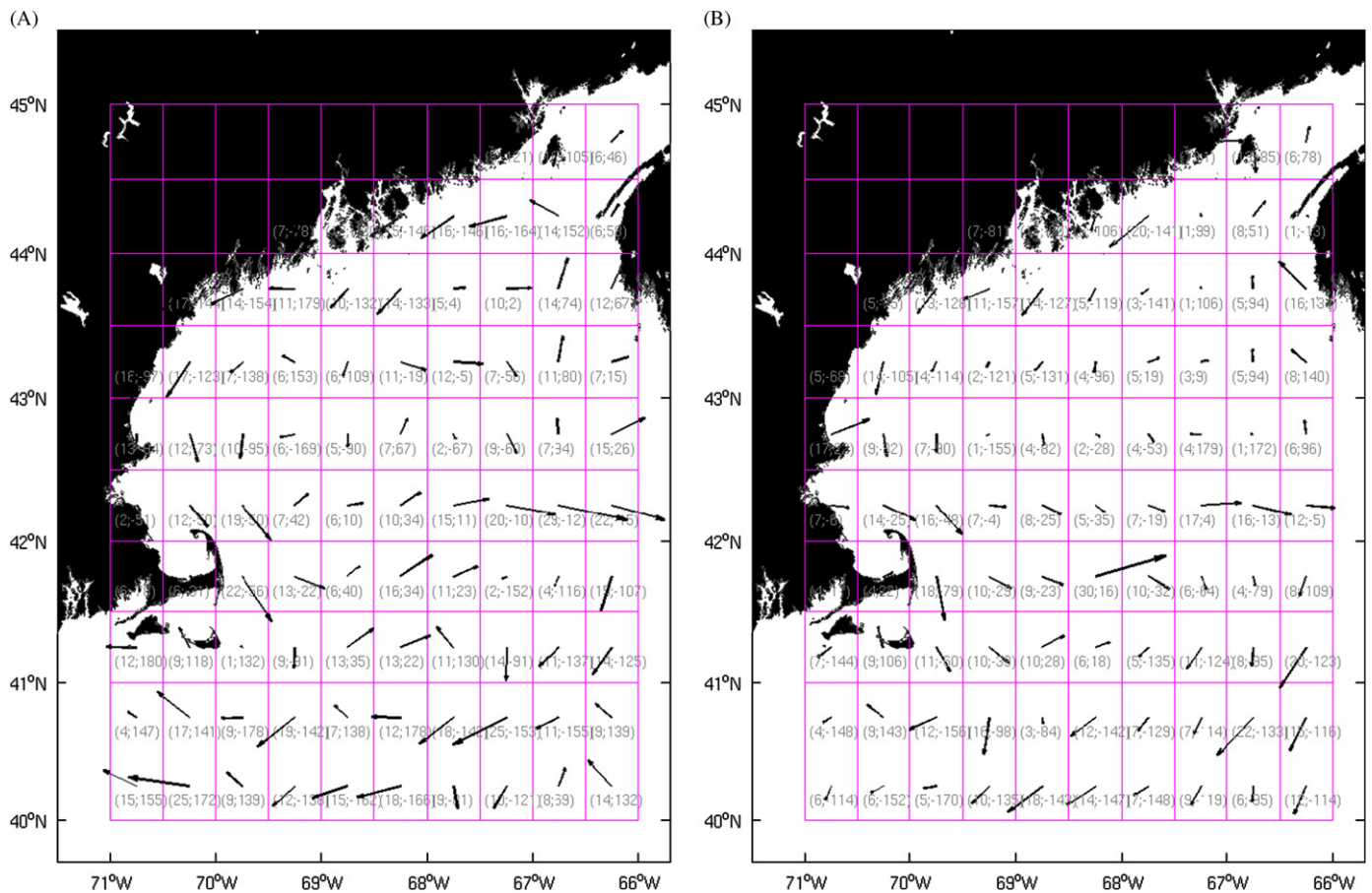
$$C_{j,i}(\tau) = f_X(\varepsilon = x_i; \tau, a = x_j)(\pi R^2) \quad (3)$$

The connectivity matrix can be normalized by the surrounding area  $\pi R^2$  of each release site to convert probability densities into probabilities.

Once we have the connectivity matrix, the destination strength  $D_i(\tau)$ , representing the relative ‘attractiveness’ of site  $i$  for all Lagrangian particles released in the study domain over







**Fig. 3.** Comparisons between (A) observed and (B) simulated mean surface currents (unit:  $\text{cm s}^{-1}$ ). The observed surface currents are derived on a  $1/2^\circ$  grid from long-term drifter data by Manning et al. (2009), whereas the modeled mean surface currents are derived by temporally averaging of regional model hindcast solutions from 2004 to 2009. Couplet inside each grid box indicates the mean current amplitude ( $\text{cm s}^{-1}$ ) and direction (degrees True).

coastal current resembles its observational counterpart in most areas except in Jordan Basin where the model underestimates the current.

Both synoptic model/data comparisons presented in earlier studies (He et al., 2008; Li et al., 2009) and the long-term mean comparisons give us the confidence that the offline Lagrangian transport analysis is couched in a realistic GOM hydrodynamic setting.

### 3.2. Mean Lagrangian PDFs

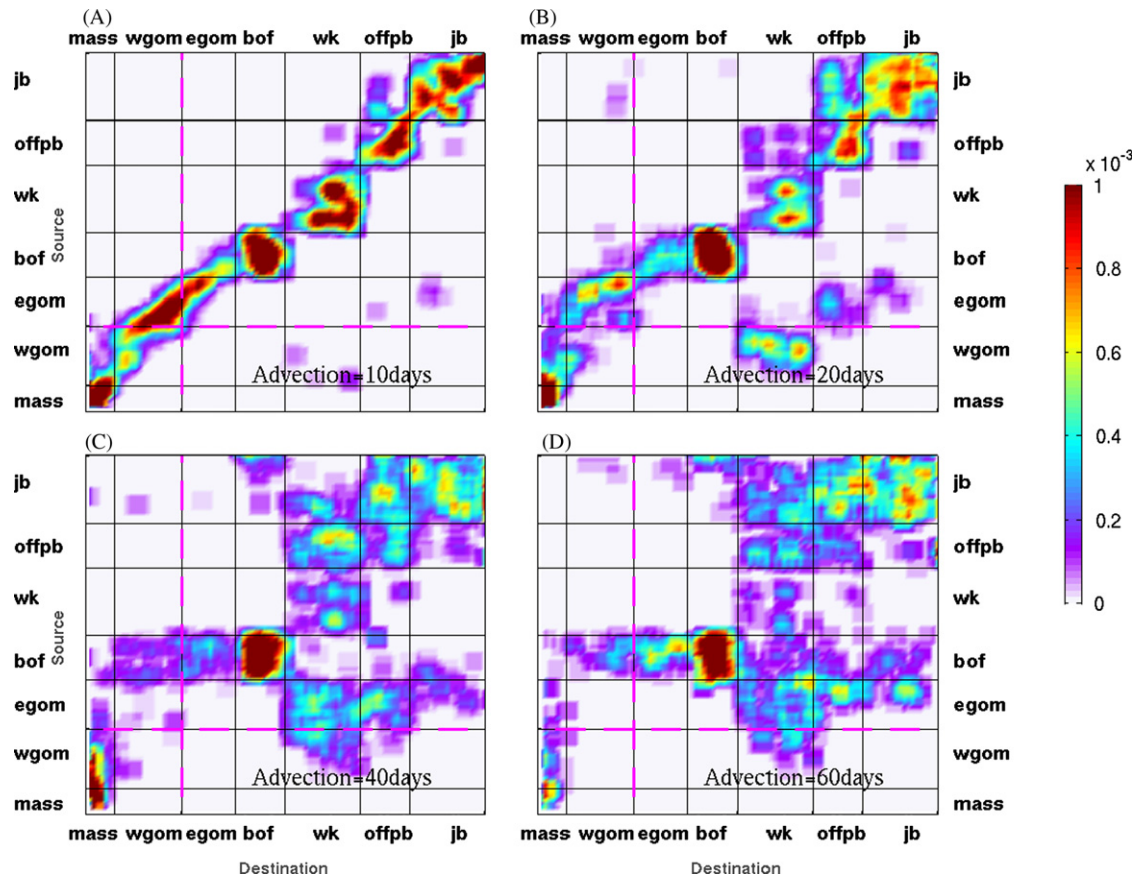
Based on the particle releases over the 6-year period, we computed the mean LPDFs for the February–August period according to the formulation in Section 2.2. Depending on the length of the tracking period (advection time  $\tau$ ), the time-averaged LPDFs display different spatial structures. Taking the BOF releases for example (Fig. 4), strong retention is clearly shown in the case with a 10-day advection time, but the pattern becomes gradually more dispersed as  $\tau$  increases. The mean LPDFs associated with the advection time of 40-day and 60-day are very similar. We note such a time scale (40–60 days) is the typical duration of harmful algal blooms in the Gulf, and Manning et al. (2009) also showed that the mean transit time for drifters to travel from the BOF to the Great South Channel (GSC) is less than 2 months. Therefore, the following analyses focus on results using 40 days as the advection time scale to understand and quantify the probability of particles being transported in various areas of the GOM.

### 3.3. Mean connectivity matrix, source and destination strength

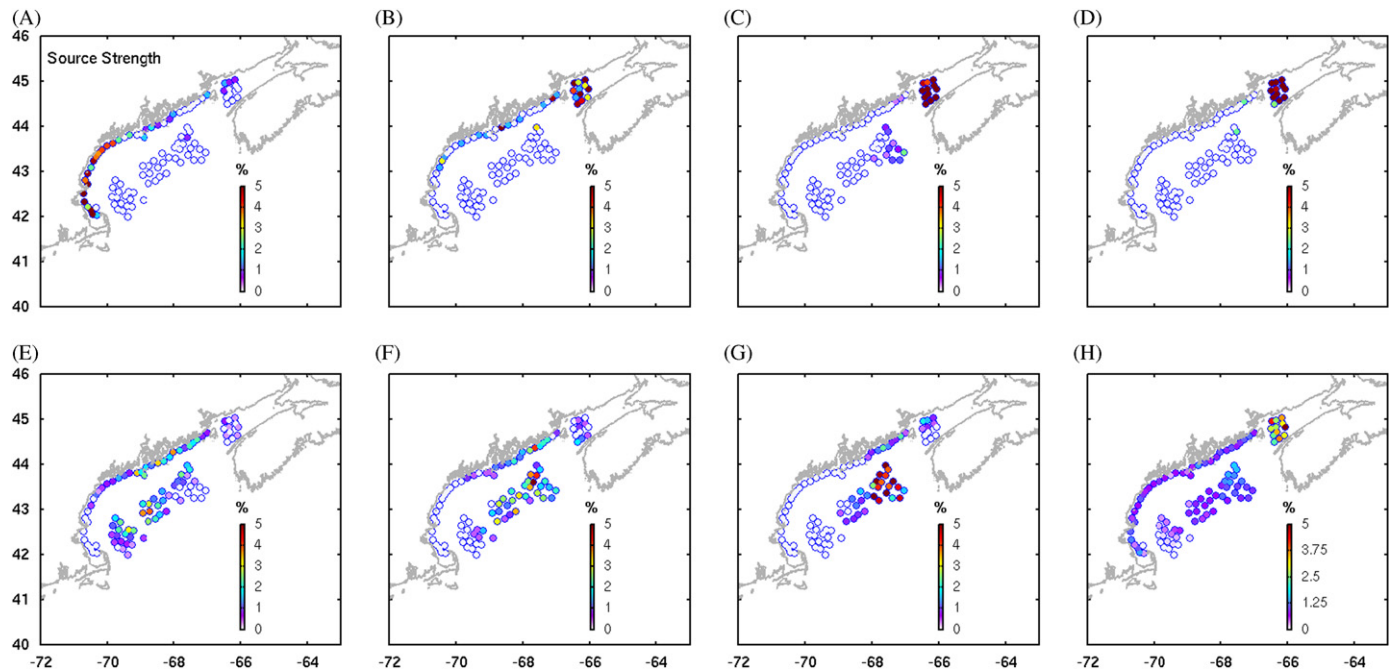
Based on Eq. (3), the connectivity matrix can be quantified using the LPDFs for given destination and source locations, illustrating the degree to which any two sites among all possible location combinations are connected over a designated advection timescale. Fig. 5 shows the mean coastal connectivity matrix for advection times of 10 days, 20 days, 40 days, and 60 days, respectively. Consistent with our analysis in Section 3.3, the case using an advection timescale of 10 days (Fig. 5A) shows that self-connectivity dominates. There are clear connections between immediately adjacent locations, such as between the EGOM coastal area and the WGOM coastal area, between BOF and EGOM, and between the WGOM and Massachusetts Bay. The connectivity pattern becomes more spread as the advection time increases to 20 days (Fig. 5B), 40 days (Fig. 5C) and 60 days (Fig. 5D). Again, we note the connectivity pattern with 60-day as the advection time scale is similar to that of 40-day.

The connectivity matrix associated with 40-day as the advection time scale (Fig. 5C) shows some interesting features. For example, while the BOF releases show the strongest self-connectivity, these particles can leak out, and travel to almost all other GOM sites selected in this study. JB releases also show strong self-sustenance, although to a less extent compared to BOF releases. Particles from JB releases can travel to most of locations under study, with only small numbers of particles being transported to the WGOM coastal area, the EGOM coastal area, and Massachusetts Bay. To better quantify the connectivity among different release domains chosen in this study, the spatial distribution of





**Fig. 5.** Mean Gulf of Maine coastal connectivity matrix based on the particle tracking using (A) 10 days, (B) 20 days, (C) 40 days, and (D) 60 days as the advection time scale. The Y axis outlines each source location under study, whereas the X axis outlines each destination location under study. Both axes run from site 1 to site 96 as noted in Fig. 1. The connectivity matrices are normalized so that the summation of mean connectivity over all possible combinations is one. Pink dashed line indicates the borderline between eastern and western GOM sites. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)



**Fig. 6.** Source locations and source strength functions of surface particles that are transported to (A) MASS, (B) WGOM coastal area, (C) BOF, (D) EGOM coastal area, (E) WK, (F) OFFPB, (G) JB, and (H) all sites in the GOM.







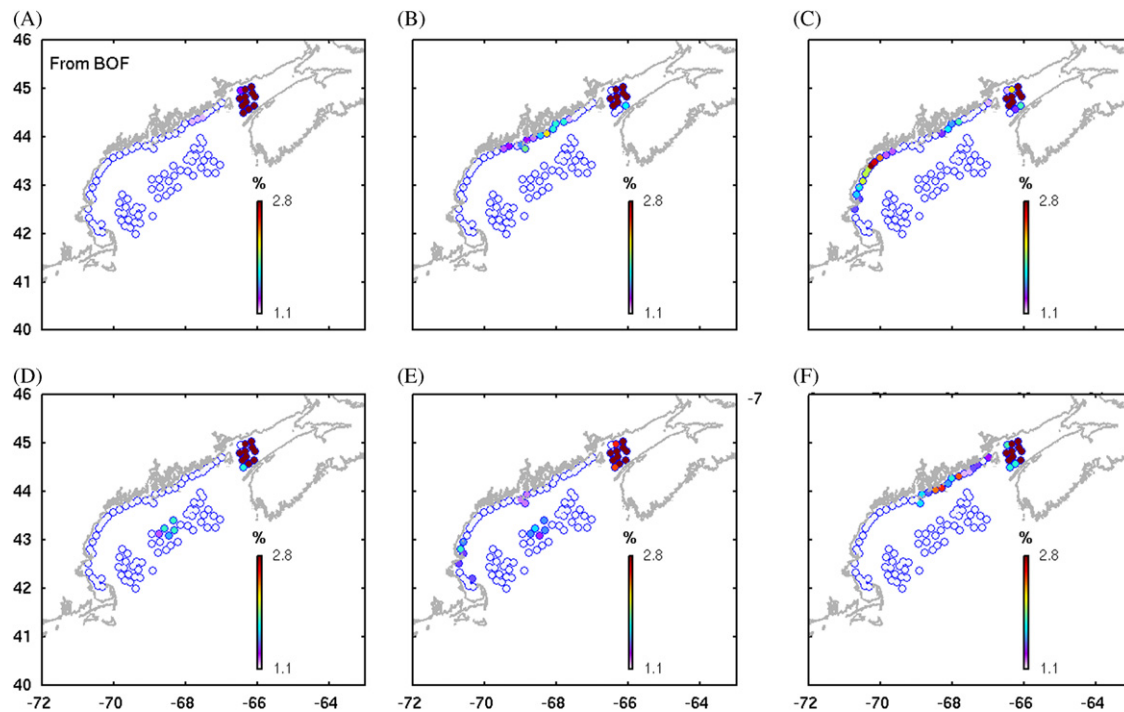


Fig. 9. Destination locations and strength functions of surface particles that are transported from the BOF in 2004–2009.

variance in the interannual and seasonal frequency bands (i.e., Fourier modes with time periods of 6-months or longer). The variability other than interannual- and seasonal-band were termed as ‘eddy-induced variability’ (Mitarai et al., 2009). By doing so, we separated the STD of connectivity into the interannual and seasonal low frequency part (Fig. 8B) and intra-seasonal eddy frequency variability (Fig. 8C).

We note that the seasonal and interannual variability (Fig. 8B) is three times larger than the mean (Fig. 5C), and dominates the connectivity variance. The higher frequency, eddy-driven variability is comparable to the mean, but much weaker than the lower-frequency seasonal and interannual signals, suggesting that in terms of the connectivity variations, the eddy-driven variability is a secondary factor. The interannual variability in connectivity can translate into significant modulations in transport pathways, and changes in source/destination function intensities. To further illustrate this aspect, we focused on particles released from the BOF, which is a major *A. fundyense* bloom incubator and cyst deposit site (Anderson et al., 2005b; Aretxabaleta et al., 2009; McGillicuddy et al., 2003). These particle trajectories clearly show rather different destination strength in each year between 2004 and 2009 (Fig. 9A–F). In 2004, particles released from our selected BOF sites stayed almost entirely within the BOF. In 2005, more particles traveled out of BOF to the coastal zone in the EGOM and near Penobscot Bay, suggesting retentiveness of the BOF gyre circulation became weaker compared to that in 2004. A similar situation occurred in 2006 and 2009, when we see a significant amount of particles traveled from BOF to WGOM (EGOM) coastal area in 2006 (2009), respectively. The BOF circulation returned to a more retentive stage in 2007 and 2008, and as a result, few particles were found outside the BOF. We note that the very strong connection between the BOF and the EGOM as indicated by the largest BOF particle destination strength in 2009 is coincident with extensive *A. fundyense* bloom and higher toxicity that occurred in summer 2009 along the Maine coast (McGillicuddy et al., this issue). Our results suggest that coastal connectivity and

transport may have played a key role in shaping the temporal and spatial distribution of the *A. fundyense* bloom, although the extent of toxicity extended into the WGOM, farther down the coast than indicated by the connectivity model. Along same line, the fact that more BOF particles traveled to WGOM (EGOM) sites in 2006 (2009) suggest the along shelf transport in 2006 was stronger than in 2009, and a flow discontinuity mechanism suggested by previous studies (Incze et al., 2010; Pettigrew et al., 2005; Xue et al., 2008) may be at work in 2009.

It should be noted that because our analysis focused on the connectivity variability on the interannual timescale, we used the  $M_2$  tidal-period (12.42 h) averaged velocity field for all our particle tracking. This procedure essentially neglects the spring-neap cycle and other tidal constituents that still remain after the  $M_2$  tidal period averaging. Analysis on this tidal residual effect on the coastal connectivity is needed in a future study. In addition, because of the finite spatial resolution of our circulation model (2–3 km), the coastal embayment and estuarine (e.g., Penobscot and Casco Bays) are not considered in our connectivity analysis. A higher resolution circulation hindcast and particle tracking simulation will be needed to resolve these regions. Another caveat that we alluded to earlier is that although our circulation hindcast reproduced the overall seasonal and interannual variability of coastal circulation (Fig. 2), the model overestimates the current amplitude at some locations (e.g., at buoy B) and underestimate currents at other locations (e.g. at buoy A). Such model errors will affect the particle tracking, leading to errors in the matrix. A more accurate representation of coastal circulation and transport requires an advanced data assimilative modeling approach, which is the subject of ongoing research that will be reported in future correspondence. Finally, while we are using distributions of numerical particles to infer *A. fundyense* bloom transport and spreading in the Gulf, we did not vary the particle release densities according to the *A. fundyense* cyst abundance (and hence the bloom limitation potential) at different sites being selected in this study. Model sensitivity experiments on the particle release



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